

Anand Kumar Sahu
B.Com., L.L.B., F.C.S.

Anand Kumar Sahu & Associates
Company Secretaries
“BHARAT-BHAWAN” B-11,
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SECRETARIAL COMPLIANCE REPORT

FOR THE FINANCIAL YEAR ENDED 31ST MARCH, 2020

To,
The Board of Directors
Shri Bajrang Alliance Limited
(Formerly Known as Shri Bajrang Alloys Limited)
CIN:L27103CT1990PLC005964
521/C , Urla Industrial Complex, Urla, Raipur (C.G.)-493221

I Anand Kumar Sahu & Associates, Practising Company Secretaries have examined:

- (a) all the documents and records made available to us and explanation provided by **Shri Bajrang Alliance Limited** (“the listed entity”),
- (b) the filings/ submissions made by the listed entity to the stock exchanges,
- (c) website of the listed entity,
- (d) any other document/ filing, as may be relevant, which has been relied upon to make this certification,

for the year ended **31st March,2020** (“Review Period”) in respect of compliance with the provisions of :

- (a) the Securities and Exchange Board of India Act, 1992 (“SEBI Act”) and the Regulations, circulars, guidelines issued thereunder; and

(b) the Securities Contracts (Regulation) Act, 1956 (“SCRA”), rules made thereunder and the Regulations, circulars, guidelines issued thereunder by the Securities and Exchange Board of India (“SEBI”);

The specific Regulations, whose provisions and the circulars/ guidelines issued thereunder, have been examined, include:-

- (a) Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015;
- (b) Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2018 [**Not applicable as the Company has not issued any further share capital during the Review Period**];
- (c) Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeovers) Regulations, 2011 [**Not Applicable as there was no reportable event during the financial year under review**];
- (d) Securities and Exchange Board of India (Buyback of Securities) Regulations, 2018 [**Not applicable as the Company has not bought back/proposed to buyback any of its securities during the Review Period**];
- (e) Securities and Exchange Board of India (Share Based Employee Benefits) Regulations, 2014; [**Not Applicable as there was no reportable event during the financial year under review**];
- (f) Securities and Exchange Board of India (Issue and Listing of Debt Securities) Regulations, 2008 [**Not applicable as the Company has not issued and listed any debt securities during the Review Period**];
- (g) Securities and Exchange Board of India (Issue and Listing of Non-Convertible and Redeemable Preference Shares) Regulations, 2013 [**Not applicable as the Company has not issued and Listed any Non-Convertible and Redeemable Preference Shares during the Review Period**];
- (h) Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015;
and circulars/guidelines issued thereunder;


and based on the above examination, I hereby report that, during the Review Period:

- (a) The listed entity has complied with the provisions of the above Regulations and circulars/ guidelines issued thereunder.

- (b) The listed entity has maintained proper records under the provisions of the above Regulations and circulars/ guidelines issued thereunder insofar as it appears from my/our examination of those records.
- (c) There were no actions taken against the listed entity/ its promoters/ directors/ material subsidiaries either by SEBI or by Stock Exchanges (including under the Standard Operating Procedures issued by SEBI through various circulars) under the aforesaid Acts/ Regulations and circulars/ guidelines issued thereunder in so far as it appears from my examination of those records.
- (d) The reporting of actions by the listed entity to comply with the observations made in previous reports does not arise during the Review Period.

Place:- Raipur
Date:- 15.06.2020




Anand Kumar Sahu
Proprietor
FCS.7670, C.P. No. 6023
UDIN: F007670B000344028